

## Interview Guide for First Round of Target Household Interviews

Date and time: \_\_\_\_\_

Place and setting: \_\_\_\_\_

### Introduction (in my own words)

#### 1. Introduce self:

- *My name is Patrick. I am a PhD student from Switzerland and am working for a research project that is led by CIAT and funded by the Swiss National Science Foundation and the Swiss Agency for Development and Cooperation.*

#### 2. Purpose of study:

- *I am interested in agriculture and work and its effect on your work and your everyday life.*
- *I am here to learn from you and hear about your experiences. I would like to know how you personally see things. There are no right or wrong answers.*

#### 3. Permission to record/sign consent form:

- *I would like to ask if I could conduct an interview with you about this. There is no problem if you don't want to participate, you can just tell me. And if you decide to participate, we really appreciate your time but unfortunately, we are not in a position to pay you for the participation.*
- *If you agree, our conversation may last approximately 1h 30 min.*
- *I would like to audio-record the interview with this device in order for me to relisting later and write down your answers. The recording won't be shared with anyone outside the research project.*
- *In addition, everything you say will remain anonymous (it will not have your name on it). I might quote and publish small parts of your responses in my dissertation but if I do so, I will only do it in a manner in which you cannot be identified (e.g. replacing your name with another).*
- *Please tell me if you have any questions or if you want me to clarify something along the way. If I ask about anything you don't want to talk about, please just say you'd rather not go into that. You are also welcome to stop the interview at any time or to ask me to turn off the recorder.*
- *Do you have any questions about what we discussed?*
- *Do I have your permission to conduct and audio-record this interview?*

Thank you very much for your time!

**Start recording**

Main Themes	Narrative Questions	Prompts (“Checklist” Questions)
<b>Self-employment</b>		
<b>Work and land</b>	A) Can you describe the work on your land?	<ol style="list-style-type: none"> <li>1) Who does what?</li> <li>2) Do you buy most of your food?</li> <li>3) Do you do any kuragiza? What is the arrangement (price, veterinary costs, babies, milk, etc.)? What do you think about it?</li> <li>4) Where do you graze your livestock?</li> <li>5) Do you do any sharecropping (nyiragabana)? What is the arrangement (seeds, fertilisers, pesticides, harvest labour)? For what period? Who decided what crop to plant and why? How do you pay? What do you think about the arrangement? Is it easy to find? Do you make the agreement in writing?</li> <li>6) When was sharecropping introduced in this village? (Where does it come from?)</li> <li>7) Do you rent in or out any land for cash? What is the arrangement (seeds, fertilisers, pesticides, harvest labour)? For what period? Who decided what crop to plant and why? How do you pay? Do you make the agreement in writing?</li> <li>8) Who decides if you pay in cash or with harvest?</li> <li>9) Do you prefer sharecropping or renting land for cash?</li> <li>10) Who decides what to plant on your own plot?</li> <li>11) Are you part of the land-use consolidation programme?</li> <li>12) What is uruteerane?</li> <li>13) Do you work in marshlands? What is the arrangement?</li> <li>14) Do you have access to any other land?</li> </ol>
<b>Cooperatives</b>	B) Do you perceive cooperative membership as beneficial?	<ol style="list-style-type: none"> <li>15) Are you member of any cooperative, farmer’s association or other group? How is it called? Why/why not?</li> <li>16) What support do they provide?</li> <li>17) What do you have to contribute (money, harvest, labour, etc.)?</li> <li>18) What are the main problems with cooperatives?</li> </ol>
<b>Background</b>	C) How did you come to be a coffee farmer?	<ol style="list-style-type: none"> <li>19) Why did you start producing coffee? Why did you decide to not grow any coffee?</li> <li>20) (Why) is coffee important to you?</li> </ol>

		21) What is the biggest challenge being a coffee farmer?
<b>Processing and sale</b>	D) Can you describe what happens to the coffee after harvesting?	22) (When and how often do you sell your coffee?) 23) To whom do you sell your coffee (broker, cooperative, washing station, etc.)? What are the reasons? Where are they from? 24) Are there any other potential buyers? Why did you not choose them? Where are they from? 25) Where do you process and sell your coffee outside of the harvest season? 26) Do they offer different prices? Do the prices vary throughout the year? When are you paid usually? 27) Does the buyer provide anything other than the payment (e.g. training, seeds, fertilisers, pesticides, contract farming)? 28) How do you get the inputs for growing coffee (seeds, fertilisers, pesticides)? 29) What activities do you participate in after harvesting (e.g. work on coffee washing station)? Why/why not?
<b>Savings</b>	E) What do you do with the money you receive from selling coffee?	30) Do you save it and spread it out throughout the year? 31) How do you maintain yourself in the six months leading up to the coffee harvest?
<b>Labour market</b>		
<b>Hiring out</b>	F) What do you do when you are not working on your land?	32) What kind of work do you do? 33) Do you work for others or other companies? If so, where? 34) What kind of work do you like/dislike and why? 35) What is the highest/lowest paid work you did? Does the payment vary throughout the year? 36) Do you prefer payment in kind or in cash? 37) Does your employer provide any equipment or meals? 38) Are you allowed to bring your baby? Does this affect your payment? 39) What are the usual working hours? What happens if it starts raining heavily? 40) Do you do any Kwisuma (casual work carrying things)? How is it seen by other people? 41) Do you sell (e.g. at market or roadside)?

		<p>42) What are the challenges related to work outside your farm? What is the hardest part about working for others?</p> <p>43) What are the disputes with your employers most often about?</p> <p>44) Did you ever quit ongoing employment?</p> <p>45) What kind of work do you want to do?</p> <p>46) What is the most difficult time of the year to find work? How do you maintain yourself then?</p>
<b>Fishing</b>	G) Do you fish sometimes?	<p>47) How much? With whom? Are you part of a fishing cooperative?</p> <p>48) Do you own a boat or net?</p> <p>49) How are you paid?</p> <p>50) Where do you sell the fish?</p> <p>51) Do you use the lake for anything else (e.g. water)?</p>
<b>Hiring in</b>	H) Please tell me about the people who work for you.	<p>52) Do you hire in any non-household labour?</p> <p>53) Who is it and how did you find them? Do you pay them?</p> <p>54) Where are they coming from? For how long do they work with you? Where do they live when they are here?</p> <p>55) What are their tasks?</p> <p>56) Are there differences between men and women?</p> <p>57) How many kilograms of red cherries does a person pick per day on average?</p> <p>58) What are the challenges when working with those people?</p> <p>59) Do you do any labour exchange (kuguzanya)? Why not? What is the arrangement (what tasks, how much, who works more)? Who are the people?</p>
<b>Land</b>		
<b>Access</b>	I) How is the access to your land regulated?	<p>60) How and when did you acquire your land? Reason for donation or free renting</p> <p>61) Did you register all your land? If not, why not?</p> <p>62) How is land usually inherited and passed on? Are there differences for men and women?</p> <p>63) What happens with the land at the time of marriage?</p>

<b>Disputes</b>	J) What are the difficulties related to land?	64) Do you ever consider selling your land? Why? 65) Have you ever lost any land? If yes, to whom? 66) Have you been compensated? 67) Did you ever experience a land dispute? If so, what was it about? How was it resolved?
<b>General</b>		
<b>Challenges</b>	K) What are the biggest challenges you face right now (ask individually)?	68) (How has your standard of living evolved over the last 10 years? How do you explain that?) 69) To what extent is life different for you as a single-headed household compared to a male- and female-headed household? How is it seen by other people? 70) What are you lacking the most? 71) What changes would you propose in order to improve your situation? 72) Would you prefer more land or more employment opportunities?
<b>Support structures</b>	L) What support structures do you have access to?	73) Do you receive any support from the government? If so what? 74) Do you participate in paid public works or VUP? If so, what? 75) Do you receive any support from family or friends? If so what? 76) Are you a member of any SACCO or ROSCA? Why did you decide not to join/would you like to join one?
<b>Imihigo</b>	M) Do you have an imihigo contract?	77) What is your target? 78) Who set the target? Is it mandatory to set a target? 79) What happens if you don't reach your target? 80) What do you think about imihigo?
<b>Road access</b>	N) Is road access important to you?	81) Why? 82) If here before: what has the arrival of the new road changed for you personally? 83) How often do you use the road? What for? 84) Do you often leave your cell? What for? 85) What are the main limitations living far from the main road?
<b>Future</b>	O) What is your hope for the future?	86) What do you wish for?

<b>Personal details</b>	
Ubudehe category:	_____
Year arrived in village:	_____
From where:	_____
<b>Closing of the interview</b>	
We are getting to the end of this interview.	
<ul style="list-style-type: none"><li>• Is there anything important that I missed during the interview or that you would like to add?</li><li>• Do you have any questions?</li><li>• I am going to end the recording now.</li><li>• Thank you very much for your time</li><li>• End time: _____</li></ul>	
<b>Stop recording</b>	

## Interview Guide for Plantation or Washing Station Manager Interviews

Date and time: \_\_\_\_\_

Place and setting: \_\_\_\_\_

### **Introduction** (in my own words)

1. Introduce self:

- *Hello, thank you very much for taking the time to speak with me! My name is Patrick. I am a PhD student from Switzerland and am working for a research project that is led by CIAT and funded by the Swiss National Science Foundation and the Swiss Agency for Development and Cooperation.*

2. Purpose of study:

- *I am interested in coffee production and its effect on work and livelihoods.*
- *You have been selected to participate in that interview because of your experience in this particular field. I am here to learn about your point of view. There are no right or wrong answers.*

3. Permission to record/sign consent form:

- *I would like to ask if I could conduct an interview with you about this. There is no problem if you don't want to participate, you can just tell me. And if you decide to participate, we really appreciate your time but unfortunately, we are not in a position to pay you for the participation.*
- *I would like to respect your time. How much time can you share with me for this interview?*
- *I would like to audio-record the interview with this device in order for me to relisting later and write down your answers. The recording will be treated confidentially and won't be shared with anyone outside the research project*
- *In addition, everything you say will remain anonymous (it will not have your name on it). I might quote and publish small parts of your responses in my dissertation but if I do so, I will only do it in a manner in which you cannot be identified (e.g. replacing your name with another).*
- *Please tell me if you have any questions or if you want me to clarify something along the way. If I ask about anything you don't want to talk about, please just say you'd rather not go into that. You are also welcome to stop the interview at any time or to ask me to turn off the recorder.*
- *Do you have any questions about what we discussed?*
- *Do I have your permission to conduct and audio-record this interview?*

Thank you very much for your time!

**Start recording**

Main Themes	Narrative Questions	Prompts (“Checklist” Questions)
<b>Coffee production</b>		
<b>Background</b>	A) Can you tell me how your company decided to invest here?	1) For how long has your company been producing coffee in this village? 2) Why did your company decide to invest in this village? 3) What do you produce? 4) Has your production area (land) changed in the last 4 years? If so, how? 5) Has your production volume changed in the last 4 years? If so, how?
<b>Sourcing</b>	B) Where do you get your coffee from?	6) What are the requirements for the producers in order to sell to you? 7) What happens to the coffee which does not meet the required standard? 8) What varieties do you buy? 9) Do you practice contract farming? If so, what is the arrangement? 10) Do you provide training, seeds, fertilizer, or pesticides? 11) Do you do any kind of certification? 12) When and how are the farmers paid? 13) Where do you get the daily price information from? How does the price change throughout the year? Do you buy coffee outside of the harvest season? 14) How is the coffee collected and transported? 15) Who does it (acheteur, kwisuma), how many, where are they from and what are they paid?
<b>Processing and sale</b>	C) Can you describe what happens to your product after the harvesting?	16) What activities do you perform after harvesting? Why? 17) What types of processing do you do (e.g. honey processed)? 18) What happens to the pulp? 19) To whom do you sell your produce? What are the reasons? 20) Are there any other potential buyers? Why did you not choose them? 21) What are the biggest problems for your business in this village? 22) How are coffee prices usually determined?
<b>Land</b>	D) How did you acquire your land?	23) Do you provide any support to people whose land you acquired? If so, what? 24) Did some people refuse to sell their land? 25) How has your investment impacted the village?



<b>Labour</b>		
<b>Recruitment</b>	E) How do you recruit your workers?	26) How do you hire employees? Are there any worker groups or intermediaries? 27) Where are your workers from? Why? 28) What worker categories are there (e.g. permanent and casual)? 29) Do you employ Kwisuma workers? 30) How many workers do you employ and during what time of the year? 31) Do you employ women for specific activities that are not done by men? If so, which ones and why? 32) Do you employ men for specific activities that are not done by women? If so, which ones and why?
<b>Working conditions</b>	F) Can you describe the working conditions at your plantation?	33) What do you pay? Is this the same for foreigners? Is this the same for women/men? Why? 34) What are the working hours? 35) Do you provide any equipment such as boots or masks? If yes, to whom? 36) Do you provide any extra benefits, food, training, insurance, child care support, etc.? 37) Do you provide any housing facilities for your workers?
<b>Associations</b>	G) How do workers organise themselves?	38) Are there any worker's associations or similar? 39) What activities do they do? 40) Can you describe the relationship among the different workers?
<b>Disputes</b>	H) What are disputes most often about?	41) Are there many thefts, absenteeism, late-coming or dawdling? 42) How are disputes resolved? 43) How do you ensure that your workforce is disciplined? How do you monitor your workers?
<b>Looking forward</b>		
<b>Future</b>	I) What are the foreseen future developments of your company?	44) What does your company look like in 5 years?

Personal details	
Position: _____	Nationality: _____
Gender: _____	
Company: _____	Village: _____
Closing of the interview	
<p>We are getting to the end of this interview.</p> <ul style="list-style-type: none"> <li>• Do you have any production/employee statistics or documents that you could share?</li> <li>• Who do you think we should talk to in order to understand the context even better?</li> <li>• Is there anything important that I missed during the interview or that you would like to add?</li> <li>• Do you have any questions?</li> <li>• I am going to end the recording now.</li> <li>• Thank you very much for your time</li> <li>• End time: _____</li> </ul> <p><b>Stop recording</b></p>	